



This class (Lecture 20):  
Origin of Intelligence 2  
*Adam Flanders*

Next Class:  
Cultural Evolution  
*Clara Mount*

HW #8 due today

- Genetic diversity leads to diversity of life.
- Evolution on Earth leads to complexity.
  - As measured in stored info and in brains
- Intelligence an outcome of this diversity
- What is  $f_i$ ?
- Will a civilization develop that has the appropriate **technology** and **worldview**?

Music: *E.T.* – *Katie Perry*

## Drake Equation



Frank Drake

That's 2.7 life systems/year



$$N = R_* \times f_p \times n_e \times f_l \times f_i \times f_c \times L$$

# of advanced civilizations we can contact in our Galaxy today	Star formation rate	Fraction of stars with planets	# of Earthlike planets per system	Fraction on which life arises	Fraction that evolve intelligence	Fraction that communicate	Lifetime of advanced civilizations
20	stars/yr	0.8	$2 \times 0.11 = 0.22$	0.775	intel./life	comm./intel.	yrs/comm.
		systems/star	planets/system	life/planet			

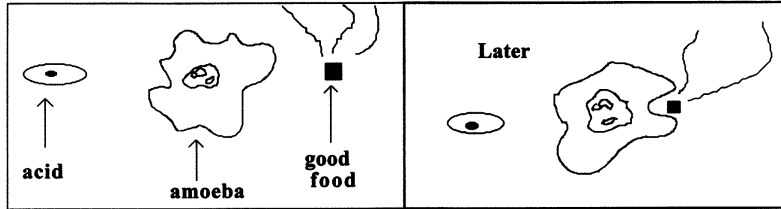


## Evolution of Intelligence

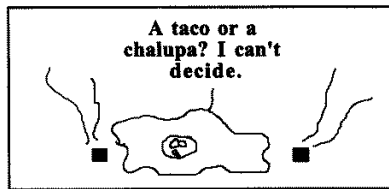


- Through diversity, evolution has resulted in an increase in the complexity of organisms on Earth.
- Can we associate complexity with intelligence?
- If intelligence is an advantageous trait, it is plausible that intelligence would increase over time.
- But, what is intelligence?

## An Amoeba Distinguishes



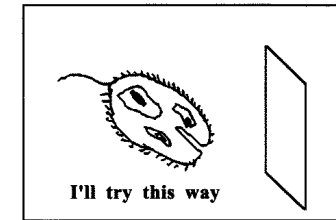
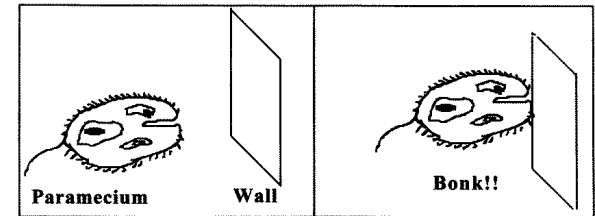
- Has a model of its environment.
- What if two pieces of food are placed nearby?



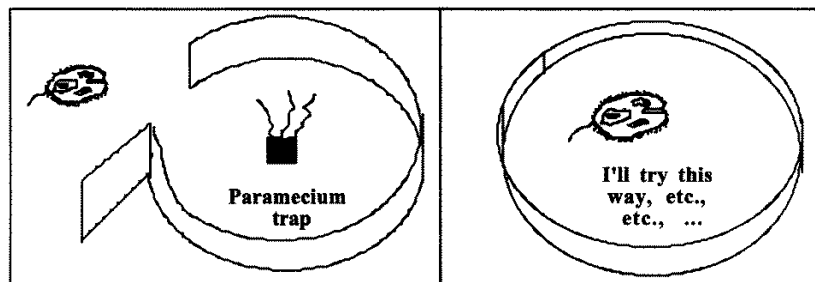
## The Intelligent Paramecium?



- Still one celled, but more complex.
- Has a kind of primitive memory.



## Intelligence Breakdown



- Doesn't realize to give up.
- Smarter than the amoeba, but no genius.
- With complexity does come some intelligence.
- There seems to be a continuum of intelligence.



## Evolution of Intelligence



- A general definition is “the ability to model the world, including the organism's own self”.
- But even single-celled animals seem to be able to do that to some degree.
- Can think of intelligence as a continuum, not a unique aspect of humans.
- Why then, does there seem to be a gap between us and the rest of life on Earth?



# Origin of Human Intelligence



- If we view intelligence as a continuum, then we are not essentially different than other organisms.
- Still need a quantitative measure of intelligence.
- Intelligence could be defined by the amount of information stored in the organism. DNA storage.



Spottet Dolphins sounds  
<http://neptune.atlantis-intl.com/dolphins/sounds.html>

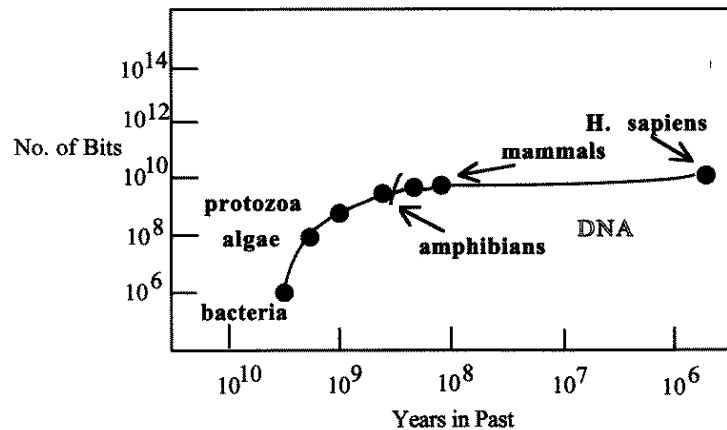
# DNA Storage



- We'll use bits of information
  - Yes = 1
  - No = 0
- Each DNA base has 2 bits of information– 4 options.
- Each codon has 3 bases or 6 bits (3 x 2)
- Humans have (3 x 10<sup>9</sup>) bases x 2 bits per base = 6 x 10<sup>9</sup> bits (~750 Mbytes), like 4000 books of 500 pages.

Spottet Dolphins sounds  
<http://neptune.atlantis-intl.com/dolphins/sounds.html>

# Development of Intelligence



# Caveats



- Existence of large amount of “junk DNA” makes it problematic to measure intelligence by number of DNA possibilities
  - Only about 2% of human DNA seems to actually code proteins, then humans have 1.2 x 10<sup>8</sup> bits (15 MB), or 800 books
  - For some organism the “junk DNA” is significant: Newts and lilies would have more than 10<sup>11</sup> bits (12.5 GB).



<http://www.eeingeorgia.org/eic/images/landfil.jpg>

## Caveats



- Keep in mind that less intelligent organism did not disappear, so there is **no trend** for organisms to get smarter.
- The **diversity** of life (i.e. diversity locked in the DNA) with time led to **some** species with intelligence.
- A niche is found where intelligence is preferred..



## Limited Pockets in Genes



- There are limits to how much info genes can store.
- If you try to store too much info, mutations can wipe you out.
- For eukaryotes, the error rate is about  $10^{-9}$ , limiting the amount of storage to about  $10^{10}$  bits.



## Limited Pockets in Genes



- What did life do?
- Evolution devised a new way (extra-genetic) to store information.
- Life developed a nervous system and brains. More bits of storage that are R/W. We can learn!



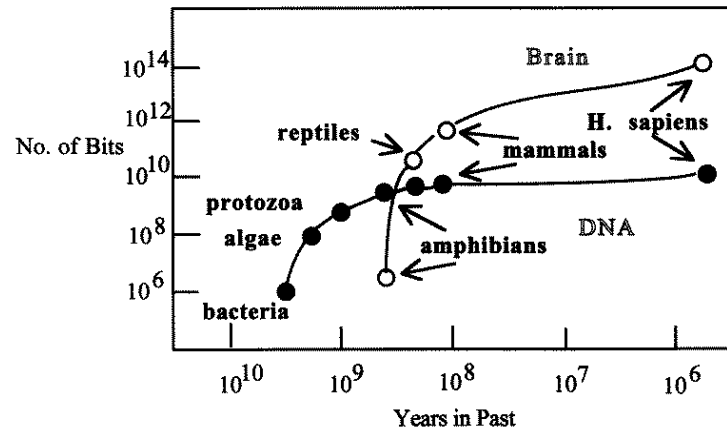
## Info Storage in Brains?



- Information storage in DNA is straightforward, but in the brain?
- There are  $10^{11}$  nerve cells (called neurons) in a human brain, but they do not work in binary form, more analog-based.
- And they are interconnected— a neuron can be connected (with synapses) to 1000 other neurons.
- An impulse triggers a chain of neurons to “fire” causing a reaction. So, really the information is stored in synapses.  $10^{11} \times 10^3 = 10^{14}$  bits (12.5 Terabytes)



## Development of Intelligence

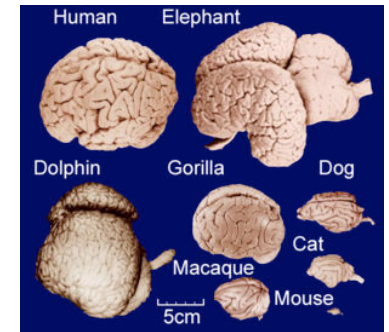


## Development of Intelligence



Who has the biggest brain?  
Not Humans.

Largest is Sperm Whale at 17.5 lbs and human brain is 2.7 lbs, but we have the largest brain compared to our body size, sort of.



## Intelligence



- It seems that intelligence is a desirable trait.
- And we can argue for a rough connection between the rise of complexity and intelligence.
- Increased genetic diversity is the key  
With more organisms of all types, a more intelligent species is reasonable.



<http://www.cartoonstock.com/lowres/shr09451.jpg>

## Intelligence



- Still, the point of the Drake equation is to find civilizations with which to communicate, so we need to think about developing human-like or better, intelligence.



<http://www.newenglandfilm.com/news/archives/03march/reviews.htm>

## Human-Level Intelligence



- Our species is the only one on Earth to have developed a technological civilization.
- How likely is that to happen on other planets?

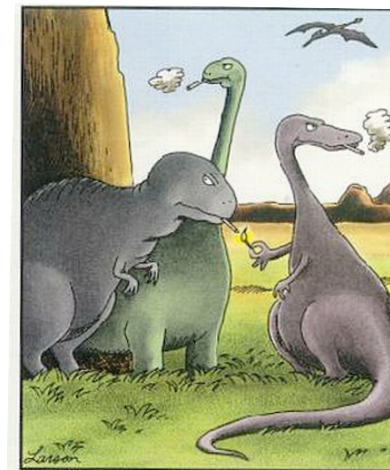
## Human-Level Intelligence



- Actually the development of humans is still controversial, even among anthropologists. New fossils are appearing that change our understanding.
- Mammals first appeared on the fossil stage about 200 Myrs ago, but were minor players until about 65 Myrs ago.



## Less Credible Theories



<http://www.boundaryschools.com/fws/snidsmk.htm>

# Primates



- Main characteristics:
  - Flat fingernails
  - Eyes in front of face
  - No sharp teeth or claws
  - Some have large brain-to-body ratios, but most do not.
  - Primarily adapted to life in trees



<http://saldf.stanford.edu/Projects.htm>

# Primates



- Basically, with **one** large exception, primates have not been very successful.



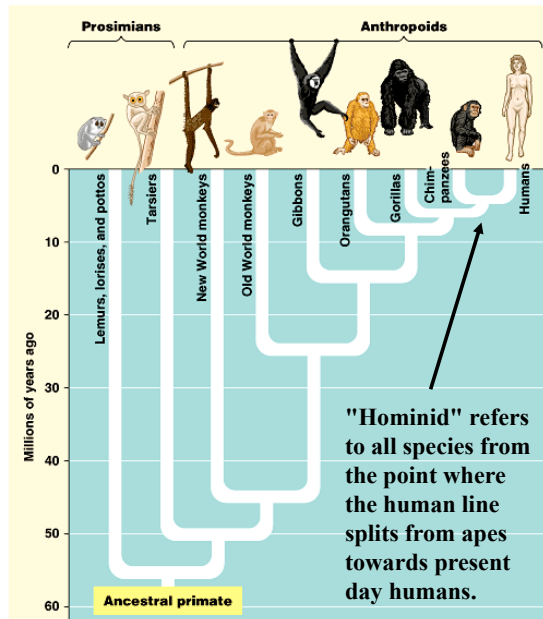
← That one



Not that one →

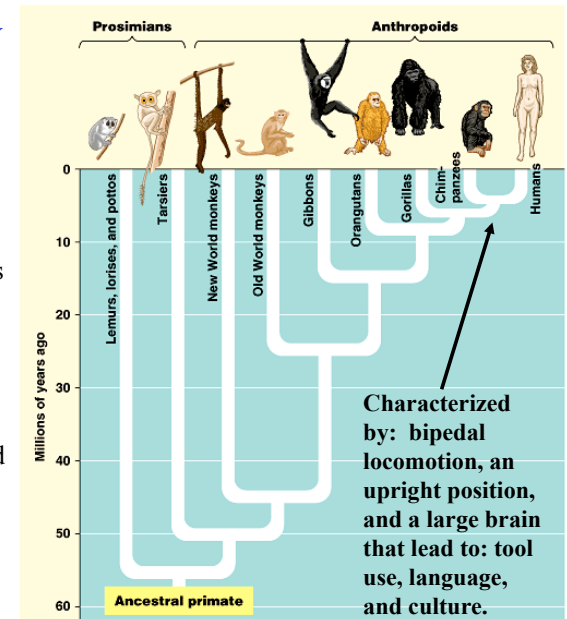
## Family Tree?

- General trend of adaptation to tree life.
- From toe claws to gripping with large toes or fingers (thumbs).
  - This allowed for tool use.
- From nocturnal to daylight.
- More vision– a rounded face with forward eyes and color vision.
- These mutations were random.



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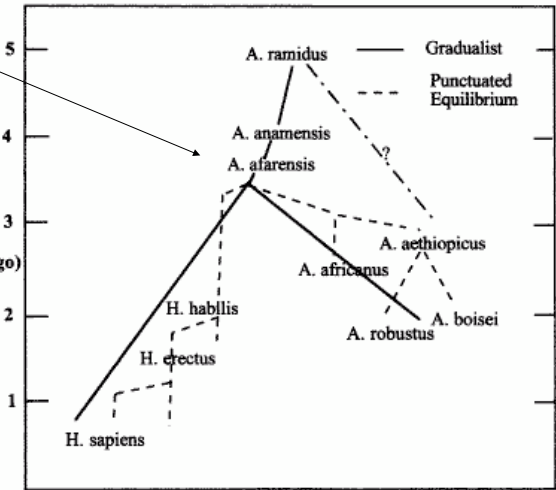
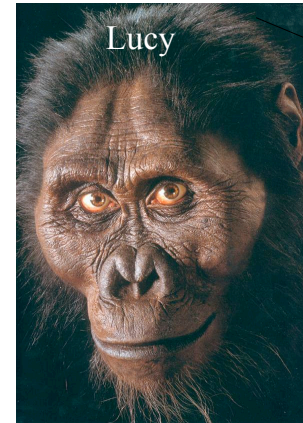
# Path to Intelligence



- Path to intelligence is not obvious, nor likely to happen the same way twice.
- On this planet it took ~4 billion years.
- Diversity is the key...
- The direct path to hominid evolution is interesting and controversial.



# The Last 5 Myrs

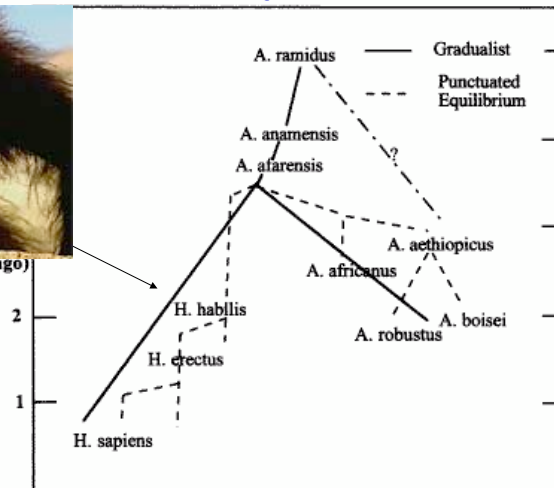


Difference

# The Last 5 Myrs



(Myr ago)

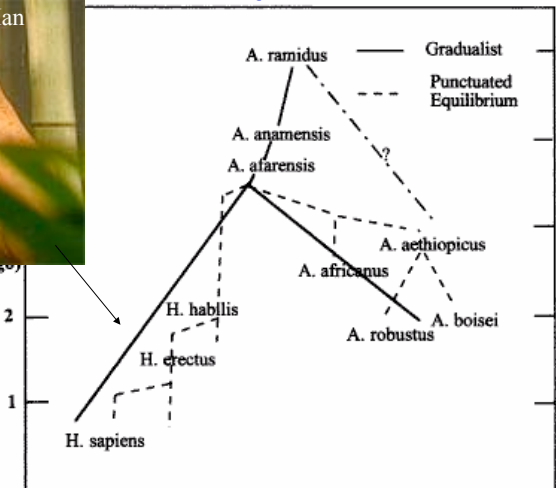


Difference

# The Last 5 Myrs



(Myr ago)



Difference



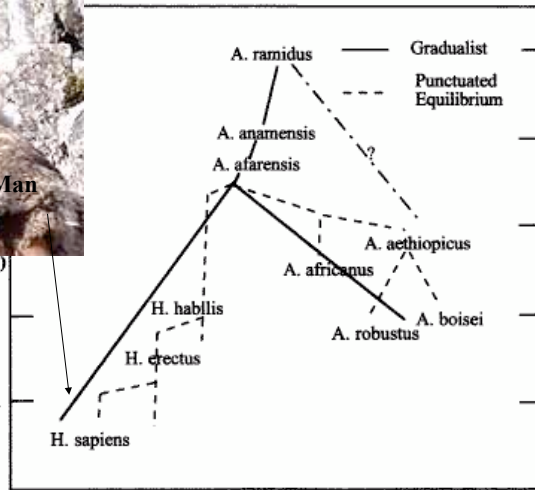
# The Last 5 Myrs



Neanderthal Man

Was an earlier species that had larger brain volume and language.

Went extinct 28000 yrs ago.



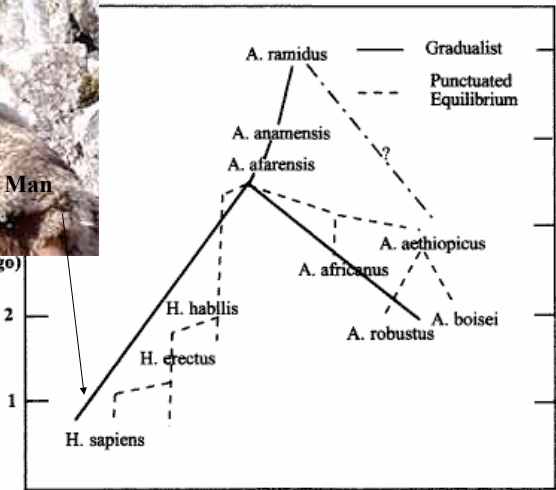
Difference

# The Last 5 Myrs



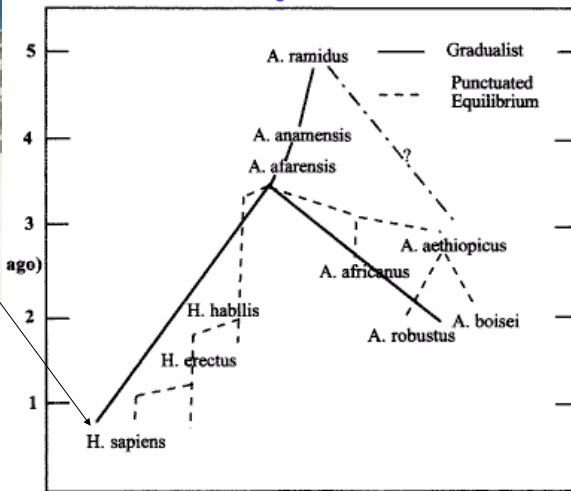
Neanderthal Man

New DNA comparison suggests interbreeding with modern humans roughly 80,000 and 50,000 years ago; 1-4% of the genome of Eurasians from Neanderthals



Difference

# The Last 5 Myrs

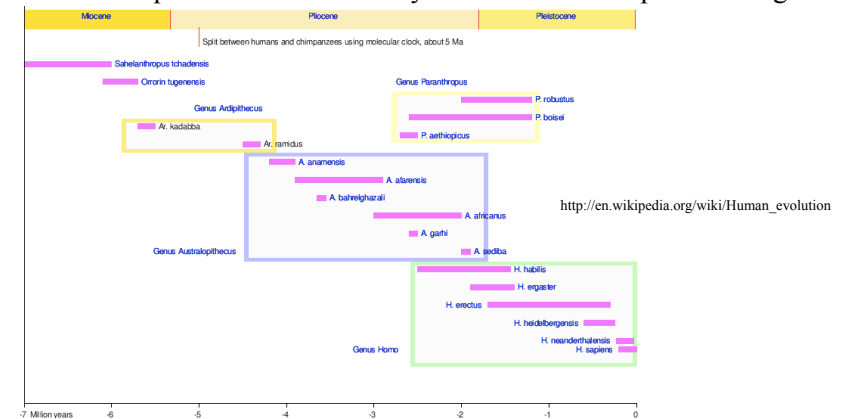


Difference

# Ancestors



- Overall, the evolution leading to H. sapiens was not a smooth and steady path.
- At some points there were many distinct hominid species living.



## Ancestors



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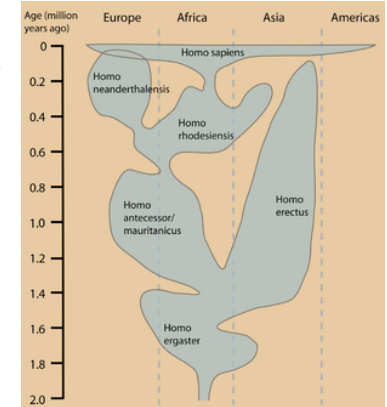
Comparative table of *Homo* species [view](#) · [talk](#) · [edit](#)

Species	Lived when (Ma)	Lived where	Adult height	Adult mass	Cranial capacity (cm <sup>3</sup> )	Fossil record	Discovery / publication of name
Denisova hominin	0.04	Altai Krai				1 site	2010
<i>H. antecessor</i>	1.2 – 0.8	Spain	1.75 m (5.7 ft)	90 kg (200 lb)	1,000	2 sites	1997
<i>H. capranensis</i>	0.5 – 0.35	Italy			1,000	1 skull cap	1994/2003
<i>H. erectus</i>	1.8 – 0.2	Africa, Eurasia (Java, China, India, Caucasus)	1.8 m (5.9 ft)	60 kg (130 lb)	850 (early) – 1,100 (late)	Many	1891/1892
<i>H. ergaster</i>	1.9 – 1.4	Eastern and Southern Africa	1.9 m (6.2 ft)		700–850	Many	1975
<i>H. floresiensis</i>	0.10 – 0.012	Indonesia	1.0 m (3.3 ft)	25 kg (55 lb)	400	7 individuals	2003/2004
<i>H. gautengensis</i>	>2 – 0.6	South Africa	1.0 m (3.3 ft)			1 individual	2010/2010
<i>H. georgicus</i>	1.8	Georgia			600	4 individuals	1999/2002
<i>H. habilis</i>	2.3 – 1.4	Africa	1.0–1.5 m (3.3–4.9 ft)	33–55 kg (73–120 lb)	510–660	Many	1960/1964
<i>H. heidelbergensis</i>	0.6 – 0.35	Europe, Africa, China	1.8 m (5.9 ft)	90 kg (200 lb)	1,100–1,400	Many	1908
<i>H. neanderthalensis</i>	0.35 – 0.03	Europe, Western Asia	1.6 m (5.2 ft)	55–70 kg (120–150 lb) (heavily built)	1,200–1,900	Many	(1829)/1864
<i>H. rhodesiensis</i>	0.3 – 0.12	Zambia			1,300	Very few	1921
<i>H. rudolfensis</i>	1.9	Kenya				1 skull	1972/1986
<i>H. sapiens idaltu</i>	0.16 – 0.15	Ethiopia			1,450	3 craniums	1997/2003
<i>H. sapiens sapiens</i> (modern humans)	0.2 – present	Worldwide	1.4–1.9 m (4.6–6.2 ft)	50–100 kg (110–220 lb)	1,000–1,850	Still living	—/1758

## Ancestors



- Modern humans emerged from a situation with many variant species adapting to fill different environmental niches.
- Only one path lead to much larger brains, and we do not truly understand what environmental factor favored it.
- It seems likely that something like this will happen on other planet with enough time.



## Question



Overall, the evolution of *H. Sapiens* was

- a smooth and direct path.
- simple and inevitable, after the extinction of the dinosaurs
- depended only upon the local environment in Africa.
- an awkward path of evolution with many surprises.
- likely orchestrated by aliens.

## f; Considerations



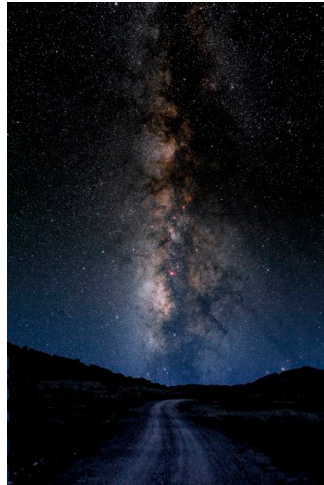
- Complexity leads to intelligence, but complexity seems to require a benign environment. Harsher environments tend to have simpler organisms.
- Perhaps life may exist on harsh planets, but more intelligent life?



## f<sub>i</sub> Considerations



- Remember, human intelligence took 4.5 billion years.
- Systems very near the center of the galaxy are more likely to be hit with supernovae event in that time.
- 4.5 Byrs is about half the age of our Galaxy. Were we fast or slow? Fast: severely limits ETs. Slow: there can be multiple ETs.



## f<sub>i</sub> Considerations



- Intelligent life is a very recent development on Earth with the emergence of the primates, hominids, and H. sapiens.
- Everyone agrees that this particular evolution will not occur on other planets.
- But, will the characteristics of H. sapiens be common to human-like intelligence?
  - Manipulative organs– hands
  - Walking upright?
  - Is tool use and larger brains associated with walking upright?
  - Pair bonding?
  - Human brains quadruple in size after birth compared to other primates which double.

## f<sub>i</sub> Considerations



- How unique is our intelligence?
- Teaching sign language to chimps and gorillas have shown they are more intelligent than we thought.
- Don't forget [Alex the parrot!](#)



Alex (1976 - September 6, 2007)



Dr. Patterson persuades Koko not to smoke. "Kitten hates it, Koko!"

## f<sub>i</sub> Considerations



- Whales and dolphins are speculated to be of high intelligence, but communicating is difficult.
- With all of this in hand, we are ready to make the next estimate in the Drake equation.
- This term is only intelligent life that can communicate abstract thought to each other, not technological able to communicate.



## What is $f_i$



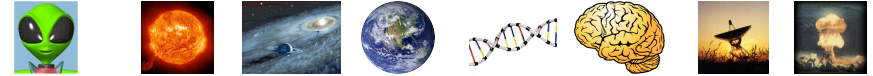
- What is the fraction of life that forms human or better intelligence in less than about 4.5 billion years?
- If you think that it always does, then  $f_i = 100\%$
- If you think that it is a statistical fluke or required supernatural invention then you could use 1/billion or 10<sup>-7</sup>%.
- Anywhere in between is fair game.

## Drake Equation

Frank Drake



That's 1.38 intelligent systems/year



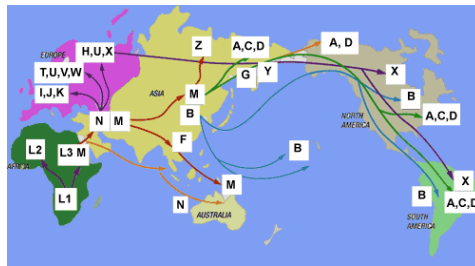
$$N = R_* \times f_p \times n_e \times f_l \times f_i \times f_c \times L$$

# of advanced civilizations we can contact in our Galaxy today	Star formation rate	Fraction of stars with planets	# of Earthlike planets per system	Fraction on which life arises	Fraction that evolve intelligence	Fraction that communicate	Lifetime of advanced civilizations
	20 stars/yr	0.8 systems/star	2 x 0.11 = 0.22 planets/system	0.775 life/planet	0.505 intel./life	comm./intel.	yrs/comm.

## Backdrop of Civilization



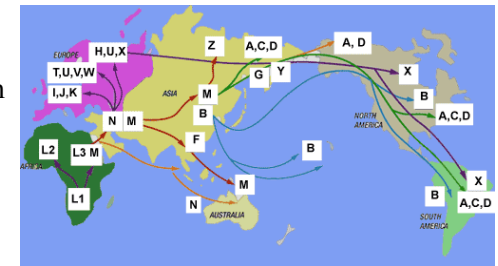
- Origin of modern H. sapiens is disputed, but the genetic and linguistic evidence points toward a spread of humans across Eurasia then the Americas.
- We share a common gene pool, but genetic drifts and selection for local environments created genetic differences among groups.



## Backdrop of Civilization



- These genetic differences have little to do with the concept of race, which has been showed by genetic studies to be a meaningless concept.
- The greatest genetic and linguistic variations are found in Africa, supporting the “out of Africa” idea.



## Cultural Evolution



- Once humans spread across the globe, the primary method for evolutionary change shifted from biological to cultural evolution.
- Anatomically modern H. sapiens evolved 100,000 yrs ago, but the first modern behavior did not appear until 40,000 yrs ago– e.g. cave painting.
- Regardless, there has not been any significant biological evolution for the last 40,000 yrs– e.g. brain increase.



[http://www.codcottage.freemove.co.uk/images/hand\\_castillo\\_spain.jpg](http://www.codcottage.freemove.co.uk/images/hand_castillo_spain.jpg)

## Cultural Evolution



- The rest is cultural– from hunter-gathers to cell-phone-users.
- Cultural evolution was fast.
- Is cultural evolution needed for ET? Why would a ET culture try to communicate with us?
  - Capability (suitable technology) and interest (worldview?).



## Hunting and Gathering



- Until 10,000 years ago, H. Sapiens functioned completely as hunter-gathers.
- Small nomadic tribes with few possessions.
- Except for shortages, a fair and easy life
  - No midterms/finals
  - Only working about 4 hours a day
  - But, no way to create surpluses or free members for other roles.
  - When things go bad, they really go bad.



[http://www.cnn.com/WORLD/9511/safrica\\_bushmen/](http://www.cnn.com/WORLD/9511/safrica_bushmen/)



## Agriculture



- Tribal societies– 100s of people into villages
- Due to agriculture, larger and larger communities and new societal organizations.
- Began about 10,000 yrs ago, around the dead sea.
  - Mixed hunting with harvesting of wild wheat and barley.
  - Storage, planting, and seed selection.
  - Mutant varieties took over and hunting decreased.
  - 1000 years later, animal domestication.

<http://www.ffa.org/media/comm/index.html>



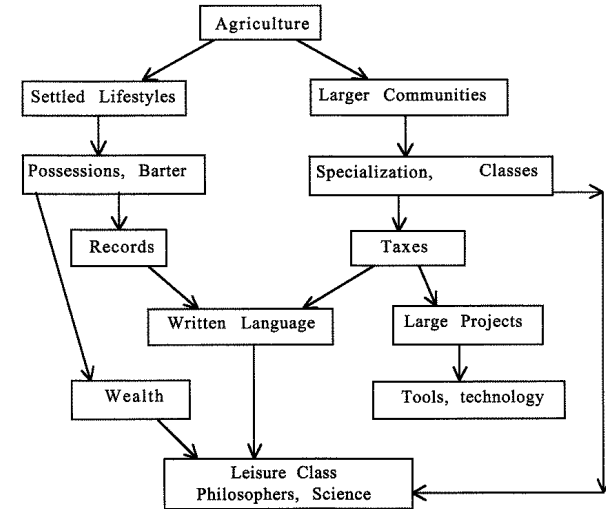
# Agriculture



- Provided long-term settlements for cultural evolution, information, tools, and energy sources.
- At first purely agriculture communities are hard:
  - A lot more work
  - Usually dietary deficiencies

<http://www.ffa.org/media/comm/index.html>

# The Importance of Agriculture



# Question



What cultural break-through eventually allowed for professional scientist, like Astronomy professors?

- Telescopes
- Religion
- The spoken word
- Agriculture
- Monkeys

# Language and Information



- Limited size for brain, due to birth canal size, so limited bits of info.
- Need to develop **extra-somatic** (outside the body) information storage techniques.
- First method to store information from another person was spoken language.
- Crucial development.



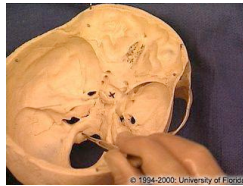
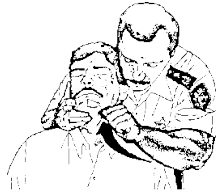
[http://muslimhiphop.com/index.php?p=Popular\\_Alternative\\_Genres/Spoken\\_Word](http://muslimhiphop.com/index.php?p=Popular_Alternative_Genres/Spoken_Word)  
<http://beyondrace.com/articles/news/2228-henry-rollins-to-bring-spoken-word-tour-to-us>  
<http://www.musicikedirt.com/2008/12/05/the-art-of-the-spoken-word-compilation/>

## Language and Dis-Information



- But the origins of language are not well understood– no fossils.
- Probably in hunting parties for large prey.
- The control of the tongue is through the hypoglossal canal (hole) in the skull. In humans it is twice as large as chimps.
- First arose about 400,000 yrs ago in Australopithecines.

Hypoglossal Nerve

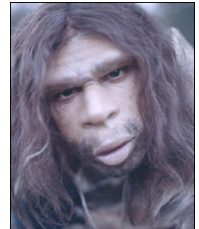


<http://members.aol.com/paroleinfo/PRESSURE.HTM>  
<http://imc.gsm.com/integrated/haonline/haonline/ha/imgs/00000/3000/600/3604.jpg>

## The Language Gene?



- FOXP2 was identified recently.
  - A severe speech and language disorder that affects almost half the members of a large family.
  - They are unable to produce the fine movements with the tongue and lips that are necessary to speak clearly.
- Human FOXP2 differs from chimp FOXP2 by only two amino acids, mouse by only 3, and zebra finch by only 7.
- Recent research shows that Neanderthal version is identical to ours. Maybe speech happened soon after chimp/hominid split?

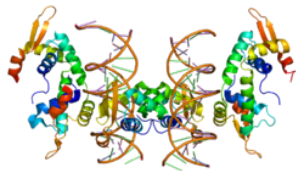


[http://news.bbc.co.uk/1/hi/health/news/hi/newsid\\_6146000/6146908.stm](http://news.bbc.co.uk/1/hi/health/news/hi/newsid_6146000/6146908.stm)

## The Language Gene?



- FOXP2 also plays a role in songbirds
- In Zebra Finches a reduced FOXP2 results in incomplete and inaccurate song imitation.



## Writing



- Oral language is clearly limited.
- Development of written language provided a powerful, new source of info storage.
- Earliest appearance was in Sumer– present day Iraq (8500 BCE).



MS 3008  
Account of commodities. Sumer, ca. 3200 BC.  
The earliest continuous writing known

<http://www.nb.no/baser/schoyen/4/4.4/441.html>

## Writing: The Beginning of History



- Probably started from economic need– barter or receipts.
- Common by 3000 BCE.
- Written records of taxes and a ruling class– the rise of civilization.
- Move from symbols to syllabic language developed by 1500 BCE.



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## Question



Language and writing are examples of

- a) culture.
- b) the FOXP2 gene.
- c) extra-somatic storage.
- d) how daddy went to jail.
- e) early government.

## Extrasomatic Storage Leaps



- Printing press (1456) – number of books jumped from 10,000 to 10 million in 50 yrs.
- Telegraph (1844)
- Radio (1895)
- Television (1936)
- Computers (1950s)
- Internet (1970s)
  - Huge extrasomatic storage: Well above brain storage



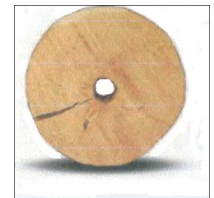
Does all of this increase the “intelligence” of our species?



## From Rocks to Metal



- Stone tools (silicates) started with *H. habilis* about 2 Myrs ago.
- Agriculture developed at the end of the stone age.
- First pottery (still silicates) around 7000 BCE.
- First metal (copper) in 6500 BCE, mostly ornamentation.
- The wheel was invented in 6500 BCE.



<http://www.angelfire.com/country/veneti/images/OldestWheel.jpg>

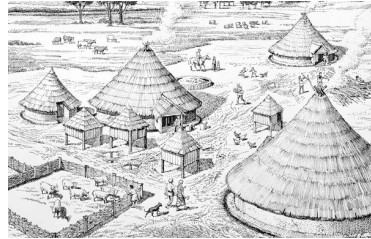




## From Rocks to Metal



- Copper tools in 4000 BCE.
- Animal drawn vehicles & sailboats in 3300 BCE.
- Bronze (copper and tin) tools in 2800-1000 BCE (the Bronze age).
- Iron first showed up in 1500 BCE.



[http://www.museumoflondon.org.uk/MOLsite/learning/who\\_are\\_you/teachers/images/citizenship/iron\\_age\\_settlement\\_no192.jpg](http://www.museumoflondon.org.uk/MOLsite/learning/who_are_you/teachers/images/citizenship/iron_age_settlement_no192.jpg)

## From Rocks, to Metal, to Rocks



- Next real step was developing energy sources.
- The industrial revolution.
- Modern technology based on electronics, crucial to our ability to communicate with ET.



<http://www.learnhistory.org.uk/cpp/industrial-revolution-children-labor.jpg>